

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:
David Stanard

Serial No.: 09/556,333

Date Filed: October 16, 2002

Title: System for Providing
Evidence of Payment

§
§
§
§
§
§
§
§
§
§

Group Art Unit: 2876

Examiner: J.A. Franklin

Atty Docket No.: E30849CPA1

Commissioner for Patents
Box CPA
Washington, D.C. 20231

Certificate of Mailing by Express Mail
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail No. EU566585496US in an envelope addressed to: Box CPA, Commissioner for Patents, Washington, D.C. 20231, on the date of signature below.

Signature

Date

Gary N.
October 16, 2002

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced case are the following documents:

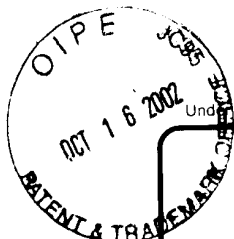
- ☒ Continued Prosecution Application (CPA) Request Transmittal;
- ☒ Preliminary Amendment including Version with Markings to Show Changes Made and Clean Amendment; and
- ☒ Return postcard.

The Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees required under 37 CFR §§ 1.16 – 1.17, or credit the account for any overpayment. A duplicate copy of the CPA Request Transmittal is attached for this purpose.

Respectfully submitted,

Date: 10/16/02

By: *Erik J. Osterrieder*
Erik J. Osterrieder
Reg. No. 48,966
Arnold & Associates
2401 Fountainview, Suite 630
Houston, TX 77057
Tel. (713) 972-1150
Fax (713) 972-1180
ATTORNEY FOR APPLICANT



10-17-02

CPA/2200

PTO/SB/29 (10-00)
Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable.

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.
of Prior Application

E3084905

First Named Inventor

David Stanard

Examiner Name

J.A. Franklin

Group Art Unit

2876

Express Mail Label No.

EU5665854905

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09/556,333
filed on April 24, 2000, entitled System for Providing Evidence of Payment

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b)

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
10/18/2002 HUNDRI 00000087 012511 09556333
5. Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

01 FC:2006	370.00 CH
02 FC:2201	126.00 CH
03 FC:2202	135.00 CH

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		35 -20* =	15	x \$ 18 =	\$ 270.00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		6 -3** =	3	x \$ 84 =	252.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 0 =	0
				BASIC FEE (37 CFR 1.16)	740.00
				Total of above Calculations =	1262.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					631.00
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				TOTAL =	631.00

6. ☒ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 01-2511:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$_____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired E30849CPAI
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized. See MPEP 503)
13. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> New correspondence address below	
Name	Arnold & Associates		
Address	2401 Mountainview, Suite 636		
City	Houston	State	Texas
Country	USA	Zip Code	77057
	Telephone	(713) 972-1150	Fax (713) 972-1156

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Erik J. Osterrieder
Signature	<i>Erik J. Osterrieder</i>
Registration No. (Attorney/Agent)	48,966
Date	10/16/02